



Express Mail No.: EL 477 033 598 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bernard J. Albanese and
Barry M. Mindes

Serial No.: 09/972,480

Filed: October 5, 2001

For: SYSTEM AND METHOD FOR
PROTECTING POSITIONS IN
VOLATILE MARKETS

Group Art Unit: 2161

Examiner: To be assigned

Attorney Docket No.: 8085-007

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed on November 2, 2001, a copy of which is enclosed, Applicants submit herewith a Declaration for the subject application that has been executed by the inventors.

This submission is timely in view of the January 2, 2002 deadline established by the Notice. Therefore, it is respectfully requested that the enclosed declaration be accepted as effective for the above-entitled application.

In accordance with the Notice, the fee required for filing the Oath is \$65.00. Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date 2 Jan '02

Leo Marken

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090 Enclosures

41,192
(Reg. No.)

Enclosures